

## FORM PTO-1083

Case Docket No. 32606

In re application of  
Serial No.Brian E. Volk, Robert S. Breidenthal and Robert N. Ross  
10/016,170

Filed:

November 30, 2001

For:

MEASUREMENT APPARATUS FOR DENSE WAVELENGTH DIVISION  
MULTIPLEXER DEVICES

EXPRESS MAIL PERMIT 374 419 019 US

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

☒ Small entity status of this application has been established.☐ No additional fee is required.

The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE		RATE	ADDIT. FEE
TOTAL *	27	MINUS **	20	= 7	\$ 9 =	\$ 63		\$ 18 =	\$
INDEP *	4	MINUS ***	3	= 1	\$ 42 =	\$ 42		\$ 84 =	\$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					\$370 =	\$ 0		\$740 =	\$
					TOTAL ADDIT. FEE	\$105	OR TOTAL		\$

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

☐ Please charge my Deposit Account No. 16-0875 the amount of 0.00.☒ A check in the amount of \$105.00 is attached.☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-0875.☒ Any additional filing fees under 37 CFR 1.16 for the presentation of extra claims.☐ Any patent application processing fees under 37 CFR 1.17.PEARSON & PEARSON  
10 George Street  
Lowell, Mass. 01852  
Tel: (978) 452-1971

Respectfully submitted,



WALTER F. DAWSON, Attorney, Reg. No. 30,046

DATE: April 3, 2002

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EF 374419019 US

32606

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re : Brian E. Volk et al.  
Serial No. : 10/016,170  
Filed : November 30, 2001  
For : MEASUREMENT APPARATUS FOR DENSE WAVELENGTH  
DIVISION MULTIPLEXER DEVICES  
Examiner :  
Group Art Unit :

#3d Pro. Andt.  
M. Brunson  
7/10/02

Lowell, Massachusetts  
April 3, 2002

EXPRESS MAIL NO. EF 374 419 019 US

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as Express Mail in an  
envelope addressed to Commissioner of Patents and  
Trademarks, Washington, D.C. 20231 on April 3, 2002.

*Walter F. Dawson*

Walter F. Dawson, Registration No. 30,046

April 3, 2002

04/09/2002 ROSSMAN 00000004 10016170

01 FC:202  
02 FC:203

42.00 OP  
63.00 OP

Commissioner of Patents  
Washington, D.C. 20231

Sir:

PRELIMINARY AMENDMENT

This is a Preliminary Amendment for Application Serial No.  
10/016,170, filed November 30, 2001.

Please amend such application as follows:

In the Claims

Please add the following new Claims 21-27.

PEARSON & PEARSON, LLP  
PATENT ATTORNEYS  
GATEWAY CENTER  
10 GEORGE STREET  
LOWELL, MA 01852

04/09/2002 ROSSMAN 00000004 10016170

01 FC:202

42.00 OP

63.00 OP